

§ 15.02

17 CFR Ch. I (4–1–99 Edition)

§ 15.02 Reporting forms.

Forms on which to report may be obtained from any office of the Commission. Forms to be used for the filing of reports are listed below, and persons required to file these forms may be determined by referring to the rule listed in the column opposite the form number.

Form No.	Title	Rule
40	Statement of Reporting Trader	18.04
101	Positions of Special Accounts on or Subject to the Rules of Specified Markets	17.00
102	Identification of Special Accounts	17.01
103	Large Trader Report	18.00
204	Cash Positions of Grain Traders (including Oilseeds and Products)	19.00
304	Cash Positions of Cotton Traders	19.00

(Approved by the Office of Management and Budget under control numbers 3038–0007 and 3038–0009)

[52 FR 38922, Oct. 20, 1987]

§ 15.03 Quantities fixed for reporting.

The quantities for the purpose of reports filed under parts 17 and 18 of this chapter are as follows:

Commodity	Quantity
Wheat (bushels)	500,000
Corn (bushels)	750,000
Soybeans (bushels)	500,000
Oats (bushels)	300,000
Cotton (bales)	5,000
Frozen Concentrated Orange Juice	50
Soybean Oil (contracts)	175
Soybean Meal (contracts)	175
Live Cattle (contracts)	100
Feeder Cattle (contracts)	50
Hogs (contracts)	50
Sugar No. 11 (contracts)	300
Sugar No. 14 (contracts)	100
Cocoa (contracts)	100
Coffee (contracts)	50
Copper (contracts)	100
Gold (contracts)	200
Silver bullion (contracts)	150
Platinum (contracts)	50
No. 2 Heating Oil (contracts)	250
Crude Oil, Sweet (contracts)	300
Unleaded Gasoline (contracts)	150
Natural Gas	100
Long-Term U.S. Treasury Bonds (contracts)	500
GNMA (contracts)	100
Three-Month (13-Week) U.S. Treasury Bills (contracts)	150
Long-Term U.S. Treasury Notes (contracts)	500
Medium-Term U.S. Treasury Notes (contracts) ..	300
Short-Term U.S. Treasury Notes (contracts)	200
Three-Month Eurodollar Time Deposit Rates (contracts)	850
Thirty-Day Interest Rates (contracts)	100
One-Month Libor Rates (contracts)	100
Foreign Currencies (contracts)	200
U.S. Dollar Index (contracts)	50

Commodity	Quantity
Standard and Poor's 500 Stock Price Index (contracts)	600
Standard and Poor's 500 Stock Price Index, E-Mini (contracts)	300
New York Stock Exchange Composite Index (contracts)	50
Amex Major Market Index, Maxi (contracts)	100
Nikkei Stock Index (contracts)	50
Municipal Bonds (contracts)	100
Value Line Average Index (contracts)	50
All Other Commodities (contracts)	25

[62 FR 61227, Nov. 17, 1997; 62 FR 65203, Dec. 11, 1997]

§ 15.04 [Reserved]

§ 15.05 Designation of a futures commission merchant or introducing broker to be the agent of foreign brokers, customers of a foreign broker, and foreign traders.

(a) For purposes of this section, the term “futures contract” means any contract for the purchase or sale of any commodity for future delivery traded or executed on or subject to the rules of any contract market; the term “option contract” means any contract for the purchase or sale of a commodity option traded or executed on or subject to the rules of any contract market; the term “customer” means any person for whose benefit a foreign broker makes or causes to be made any futures contract or option contract; and the term “communication” means any summons, complaint, order, subpoena, special call, request for information, or notice, as well as any other written document or correspondence.

(b) Any futures commission merchant who makes or causes to be made any futures contract or option contract for the account of any foreign broker or foreign trader, and any introducing broker who introduces such an account to a futures commission merchant, shall thereupon be deemed to be the agent of the foreign broker or the foreign trader for purposes of accepting delivery and service of any communication issued by or on behalf of the Commission to the foreign broker or the foreign trader with respect to any futures or option contracts which are or have been maintained in such accounts carried by the futures commission merchant. In the case of a futures commission merchant who makes or